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CONFIRMATION NO. 6575

| SERIAL NUMBER | FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. | | |
|--|---|--|---|-----------------------------|------------------------------|----------------------------------|
| 10/655,409 | 09/04/2003 RULE | 705 | 3691 | 98-HSX001-C5 | | |
| APPLICANTS Timothy M. Keiser, Los Angeles, CA; Michael R. Burns, Los Angeles, CA; ** CONTINUING DATA ***** This application is a CON of 09/465,607 12/17/1999 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 11/28/2003 | | | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /CLEMENT B GRAHAM/ Acknowledged Examiner's signature | | <input type="checkbox"/> Met after Allowance cg Initials | STATE OR COUNTRY CA | SHEETS DRAWINGS 4 | TOTAL CLAIMS 77 33 | INDEPENDENT CLAIMS 6 3 |
| ADDRESS INNOVATION DIVISION CANTOR FITZGERALD, L.P. 110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022 UNITED STATES | | | | | | |
| TITLE COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM | | | | | | |
| FILING FEE RECEIVED 1014 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | | |